



APPLICANT:

- 1. Full name and description of operations for all entities to be named insureds:
2. Business Location & Mailing Address: Street, City, County, State, Zip, Contact Person, Title, Phone No., Fax No., Website Address, E-mail
3. Length of time in business:
4. a) Proposed effective date of insurance, b) Retroactive date requested, c) Limits Requested, d) Current Carrier Information
5. Indicate percentage of Gross Sales in each of the following areas: Manufacturing, Wholesale, Retail, Manufacturers Representative

SPECIFIC PRODUCT INFORMATION:

- 1. Do you promote any of your dietary supplements for use in children? Y N
2. Do you provide any products for use in prenatal or post-natal care? Y N

3. Gross Sales History:

Projected, Current year, 1st prior year, 2nd prior year, 3rd prior year, 4th prior year

4. Do any past, present or planned products contain any of the following:

- Anabolic Hormones, Gamma Butyrolactone (GBL), Androstenedione, Gamma Hydroxybutyric Acid (GHB), Aristolochic Acid, Germanander, Butanedio, Germanium, Black Salve, Hydroxycitrate (HCA), Chaparral, Jin Bu Huan, Chomper, Kratom, Colloidal Silver, Pennyroyal Oil, Comfrey, DMAA, Steroids, Ephedra / Ma Huang, Tiratricol (TRIAC), 5-Hydroxytryptophan (5-HTP), L-Tryptophan, Bitter Orange / Citrus Aurantium, Lobelia, Dehydroepiandrosterone (DHEA), Magnolia (Magnolia Bark), Hoodia (Hoodia Gordonii), Synephrine, Kava (Kava Kava), Yohimbe (Yohimbine HCl)

Any Derivatives of Any of the Above Ingredients
Any Pharmaceuticals (Prescription or Over-The-Counter)

Product Categories	Gross Sales	% of Total Sales
<u>Vitamin & Multi-Vitamin Products</u> (Only Contain Vitamin Ingredients, i.e. C, B6, B12, etc)		
<u>Herbal & Botanical Products</u> (Contain Herbal and Botanical Ingredients i.e. Ginkoba, Chromium, Green Tea, Melatonin, Milk Thistle, etc.)		
<u>Weight Gain, Weight Loss, or Sexual Enhancement Products</u> (Products that Promote W.G./W.L./S.E. without any of the below Ingredients)		
<u>Products that Contain Any of the Following Ingredients **</u> (5-HTP, Bitter Orange, DHEA, Hoodia, Kava, L-Tryptophan, Lobelia, Magnolia, Synephrine, Yohimbe)		
<u>Cannabinols (CBD)/Hemp Products</u>		
<u>Other Products (Please Describe)</u>		
Totals	100%	100%

** Please list all product names that contain any of the listed ingredients: _____

PRODUCT IDENTIFICATION:

1. Please attach your catalog of products or copies of your product labels. (Include All Products.)
2. Do you export products or have foreign operations: Y N
 If **Yes**, please explain including percentage (%) of goods and gross sales: _____
3. Have you discontinued or are you considering discontinuing any product? Y N
 If **Yes**, please describe the product (s), when it was discontinued and why it was discontinued: _____

MANUFACTURERS:

1. Are written quality control and testing procedures followed? If so, please attach. Y N
 2. How long are quality control and testing records kept? _____ Years
 3. Can you identify your product from those of competitors? Y N
 4. Do your records indicate when each product was manufactured? Y N
 5. Do your records show to whom and the date each product was sold? Y N
 6. Do your records show who supplied the ingredients going into your products? Y N
 7. Do you have a formal product recall plan? (Please attach a copy) Y N
 8. Do you obtain certificates evidencing Products Liability Insurance from suppliers? Y N
 Please explain any "**NO**" answers: _____

9. If you are making or selling any Cannabinols/CBD products are they tested & Certified by a 3rd party laboratory? Y N
 - a. Do you have documentation for each batch/lot? Y N
 - b. Are your products certified to contain no more than 0.3% THC and is listed on the label? Y N
 - c. Have you received any notice or letters from the FDA? Y N
 - d. Do you purchase your hemp by a licensed U.S. grower? Y N
 - e. Are any products imported? (automatic decline) Y N
 - f. Can you confirm the amount per serving is 100 mg or less? Y N
 - g. Have you been selling CBD products prior to 2018? Y N

PROCESSING AND QUALITY CONTROL:

- 1. Do others manufacture or package products under your name or label? Y N
a) What percentage (%) are manufactured or packaged by others? _____ %
b) Do you obtain certificates of insurance named as an additional insured? Y N
- 2. Do you manufacture or package products for others under their name or label? Y N
a) What percentage (%) of your gross sales does this reflect? _____ %
b) Is a mutual hold harmless executed? Y N
- 3. Do you provide any professional services? Y N
If **Yes**, please provide details: _____

LOSS PREVENTION AND LOSS CONTROL:

- 1. Do you formulate your own products? Y N
If not, please provide name and address of formulator: _____

- 2. Do you maintain records of all changes in formulas? Y N
- 3. Are all labels, advertisements and warranties reviewed by legal counsel to avoid misunderstandings relative to product safety or intended use? Y N
- 4. Are you named as an additional insured/vendor on the manufacturer's or supplier's products liability policy? Y N
- 5. Are any of your products subject to FDA approval? Y N
If **Yes**: a) What products? _____
b) Attach a copy of most recent FDA inspection.
c) Has any inspection required any changes to your operations? Y N
- 6. Do you have a specific program in place to withdraw known or suspected defective products from the market? Y N
- 7. Have you ever recalled or are you considering recalling any known or suspected defective products from the market? Y N
- 8. Do you comply with Good Manufacturing Practices (GMP)? Y N
- 9. Are imported products and ingredients tested for contamination and to verify that they match what was ordered? Y N
- 10. How many serious adverse events have been reported to you and/or have you reported to the FDA concerning your products in the past 3 years? _____
a) Have any adverse events resulted in remedial actions? Y N

Please explain any "**Yes**" answers:

CLAIM AND LOSS HISTORY:

- 1. Please attach 5-year currently valued hard copy company loss runs. Including injuries sustained and status of each claim. (Please attach descriptions of any losses over \$10,000.)
- 2. If this business is loss free and less than 1 year old, please attach a letter stating that you are aware of no losses, claims or incidents that may give rise to a claim.
- 3. Are you aware of any incidents, conditions, circumstances, defects, or suspected defects which may result in claims against you? (If yes, please attach explanation) Y N
- 4. Has any insurance company ever cancelled, restricted or refused to renew your product liability insurance? Y N
If **Yes**, please explain: _____

IF HIRED & NON-OWNED AUTOMOBILE LIABILITY COVERAGE IS TO BE PROVIDED, PLEASE COMPLETE THE FOLLOWING:

(This information MUST be provided or no coverage will be afforded.)

1. Number of employees: _____
2. Number of employees of applicant who use own autos annually during course of conducting business on behalf of applicant: _____
 - a) Description/type of autos driven by employees: _____
 - b) Estimated annual mileage for use of all the non-owned autos: _____
3. Does the applicant currently purchase or have an in-force Commercial Auto Policy? ___ Y ___ N
4. Has any claim arising out of the operation of a hired and/or non-owned automobile been made against the applicant within the past five (5) years for which this proposed insurance would apply, or is the applicant aware of any situation, incident, fact or circumstance that may give rise to a hired and/or non-owned auto liability claim within the past five (5) years? ___ Y ___ N
If **Yes**, please explain: _____

IF EMPLOYEE BENEFITS IS TO BE COVERED, PLEASE COMPLETE THE FOLLOWING: *(This information MUST be provided or no coverage will be afforded.)*

1. _____ Number of Employees
2. ___/___/___ Retroactive Date of Current EBL Coverage if Claims-Made
3. Loss History *(please attach if current or prior EBL coverage exists)*

PLEASE ATTACH THE FOLLOWING INFORMATION TO THIS APPLICATION:

1. Product Labels or Provide Website Links Where Labels are Available (showing all ingredients)
2. Product Advertising Materials (if available)
3. Quality Control Documents (if available)
4. Any Other Supporting Documentation

ACKNOWLEDGEMENTS, AUTHORIZATION AND SIGNATURE:

By signing this Application, you represent and agree to each of the following four (4) items:

1. You have made a comprehensive internal inquiry or investigation to determine whether anyone in your firm is aware of any actual or alleged fact, circumstance, situation, act, error or omission which may reasonably be expected to result in a claim, and have fully and completely divulged any and all such situations in this Application.
2. Each of the statements and answers given in this Application, are:
 - a) Accurate, true and complete to the best of your knowledge;
 - b) No material facts have been suppressed or misstated;
 - c) Representations you are making on behalf of all persons and entities proposed to be insured;
 - d) A material inducement to the insurance company to provide insurance, and any policy issued by the insurance company issued in specific reliance upon these representations.
3. This Application, along with any other Application or Supplemental Applications are hereby deemed to be attached to the policy contract, and incorporated into the policy contract, whether or not any of the other Supplemental Applications are physically attached to a particular copy of the policy contract, and regardless of whether any of the other Supplemental Applications are signed or dated.
4. You agree to promptly report to the Company, in writing, any material change in your operations, conditions, or answers provided in this Application, or any other Application or Supplemental Application, that may occur or be discovered after the completion date of said Application(s), but before the inception date of the policy. Upon receipt of any such written notice, the Company has the right, at its sole discretion, to modify or withdraw any proposal for insurance.

FRAUD WARNING:

Notice to Applicants of all states except California, Kentucky, Louisiana, New Jersey, New Mexico, New York, Oregon, Pennsylvania, Puerto Rico, Virginia and Washington D.C.:

Any person who knowingly, and with the intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any material false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects the person to criminal and civil penalties and denial of insurance benefits.

Notice to California Applicants:

For your protection, California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Notice to Kentucky Applicants:

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Notice to Louisiana Applicants:

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Notice to New Jersey Applicants:

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

Notice to New Mexico Applicants:

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties

Notice to New York Applicants:

Any person who knowingly and with the intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each provision.

Notice to Oregon Applicants:

Any person who knowingly and with intent to defraud or deceive any insurance company or other person who files an application for insurance or a statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto upon which the insurance company or any other person relies may be a crime and may provide grounds for criminal or civil penalties.

Notice to Pennsylvania Applicants:

Any person who knowingly and with intent to defraud any insurance company or other person who, files an application for insurance or a statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

.Notice to Puerto Rico Applicants:

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established by be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

Notice to Virginia Applicants:

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

Notice to Washington D.C. Applicants:

WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

IMPORTANT NOTICE: Failure to report any claim made against you during your current policy term, or facts, circumstances or events which may give rise to a claim against you to your current insurance company **BEFORE** expiration of your current policy term may create a lack of coverage.

COMPLETION OF THIS FORM DOES NOT BIND COVERAGE. APPLICANT'S ACCEPTANCE OF COMPANY'S QUOTATION IS REQUIRED PRIOR TO BINDING COVERAGE AND POLICY ISSUANCE. IT IS AGREED THAT THIS FORM SHALL BE THE BASIS OF THE CONTRACT SHOULD A POLICY BE ISSUED, AND IT WILL ATTACH TO THE POLICY.

The applicant must sign this Application within 45 days prior to the policy inception date

Application must be signed and dated by principal, partner, officer or director of the firm.

Applicant's Signature: _____ Date: _____

Title: _____

Agent or Broker: _____

PLEASE NOTE: COMPLETION AND SUBMISSION OF THIS APPLICATION IS FOR THE PURPOSE OF SECURING A PREMIUM QUOTATION ONLY.